

Clerk of the House of Representatives
Legislative Resource Center
135 Cannon Building
Washington, DC 20515
<http://lobbyingdisclosure.house.gov>

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510
<http://www.senate.gov/lobby>

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

| | | | |
|---|--|--|----------------------------------|
| 1. Registrant Name <input checked="" type="checkbox"/> Organization/Lobbying Firm <input type="checkbox"/> Self Employed Individual <u>Duke Energy Corporation</u> | | | |
| 2. Address Address1 <u>325 Seventh St. NW Suite 300</u> Address2 _____ City <u>Washington</u> State <u>DC</u> Zip Code <u>20004</u> Country <u>USA</u> | | | |
| 3. Principal place of business (if different than line 2) City _____ State _____ Zip Code _____ Country _____ | | | |
| 4a. Contact Name _____ | | | 5. Senate ID# 12744-12 |
| 7. Client Name <input checked="" type="checkbox"/> Self <input type="checkbox"/> Check if client is a state or local government or instrumentality <u>Duke Energy Corporation</u> | | | 6. House ID# 309010000 |

TYPE OF REPORT

8. Year 2018 Q1 (1/1 - 3/31) ☐ Q2 (4/1 - 6/30) ☐ Q3 (7/1 - 9/30) ☐ Q4 (10/1 - 12/31) ☒

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ Termination Date _____ 11. No Lobbying Issue Activity ☐

INCOME OR EXPENSES - YOU MUST complete either Line 12 or Line 13

| | |
|--|--|
| <p style="text-align: center;">12. Lobbying</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p><u>Less than \$5,000</u> <input type="checkbox"/></p> <p><u>\$5,000 or more</u> <input type="checkbox"/> \$ _____</p> <p>Provide a good faith estimate, rounded to the nearest \$10,000, of all lobbying related income for the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p> | <p style="text-align: center;">13. Organizations</p> <p>EXPENSE relating to lobbying activities for this reporting period were:</p> <p><u>Less than \$5,000</u> <input type="checkbox"/></p> <p><u>\$5,000 or more</u> <input checked="" type="checkbox"/> \$ <u>1,411,873.00</u></p> <p>14. REPORTING Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p> |
|--|--|

Signature Digitally Signed By: Richard G. Beach

Date 1/18/2019 3:45:57 PM

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code ENG

16. Specific lobbying issues

S. 512 A bill to modernize the regulation of nuclear energy;
H.R. 590 To foster civilian research and development of advanced nuclear energy technologies and enhance the licensing and commercial deployment of such technologies;
S. 239 The Energy Savings Through Public-Private Partnerships Act of 2017;
H.R. 723 The Energy Savings Through Public-Private Partnerships Act of 2017;
S. 385 The Energy Savings and Industrial Competitiveness Act;
H.R. 1443 The Energy Savings and Industrial Competitiveness Act.
H.R. 3053 Nuclear Waste Policy Amendments Act of 2017
S. 1460 - Energy and Natural Resources Act of 2017 (utility energy service contracts)
S. 1180 - Clean Energy Grid Act
H.R. 3043 - Hydropower Policy Modernization Act
S 1265 - A bill to amend the Nuclear Waste Policy Act of 1982 to provide for the expansion of emergency planning zones and the development of plans for dry cask storage of spent nuclear fuel, and for other purposes.
S 1457 - A bill to amend the Energy Policy Act of 2005 to direct the Secretary of Energy to carry out demonstration projects relating to advanced nuclear reactor technologies to support domestic energy needs.
H.R. 4476 - To modernize the Public Utility Regulatory Policies Act of 1978, and for other purposes.
S 79 - Securing Energy Infrastructure Act
S 1844 - Coordinating Interagency Review of Natural Gas Infrastructure Act
S. 2776, Update PURPA Act;
S. 3376, the Advancing Grid Storage Act
H.R. 1320, to amend the Omnibus Budget Reconciliation Act of 1990 related to Nuclear

No specific bill number:
Pipeline safety affecting natural gas utilities.
Natural gas vehicle incentives.
Utility Service Vehicle exemption in SAFETEA-LU
Natural-gas as transportation fuel and fueling stations.
Research and development of natural gas vehicle technologies.
R&D funding for Smart Grid energy and technologies
Regulatory Commission user fees and annual charges, and for other purposes
Legislation related to the Atlantic coast pipeline.

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Interior - Dept of (DOI), Energy - Dept of, White House Office

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New |
|------------|-----------|--------|---|--------------------------|
| Michael | Sewell | | | <input type="checkbox"/> |
| Thomas | Craig | | | <input type="checkbox"/> |
| Colleen | Moss | | | <input type="checkbox"/> |
| Pepper | Natonski | | | <input type="checkbox"/> |
| Louis | Renjel | | | <input type="checkbox"/> |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TAX

16. Specific lobbying issues

Implementation of Tax Cuts and Jobs Act 2017 - interest deductibility, AMT credits, and issues impacting regulated utilities.
Renewable energy, transportation electrification & electric vehicle tax credits

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Treasury - Dept of, White House Office, Office of Management & Budget (OMB)

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New |
|------------|-----------|--------|---|--------------------------|
| Michael | Sewell | | | <input type="checkbox"/> |
| Colleen | Moss | | | <input type="checkbox"/> |
| Pepper | Natonski | | | <input type="checkbox"/> |
| Thomas | Craig | | | <input type="checkbox"/> |
| Louis | Renjel | | | <input type="checkbox"/> |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code HOM

16. Specific lobbying issues

S. 79 The Securing Energy Infrastructure Act;
H.R. 2392, the Cyber SAFETY Act of 2018
H.R. 2825 - Department of Homeland Security Authorization Act (cyber, TSA - gas pipelines)
S. 783 - Surface and Maritime Transportation Security Act
H.R. 1224 - NIST Cybersecurity Framework, Assessment, and Auditing Act of 2017
S.3109 - Department of Homeland Security Appropriations Act, 2019 (security clearances)
H.R. 4036, Active Cyber Defense Certainty Act
H.R. 5175, Pipeline and LNG Facility Cybersecurity Preparedness Act
H.R. 3359, Cybersecurity and Infrastructure Security Agency Act of 2017;
H.R. 5239, Cyber Sense Act of 2018;
H.R. 5240, Enhancing Grid Security through Public-Private Partnerships Act;

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Homeland Security - Dept of (DHS), Energy - Dept of

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New |
|------------|-----------|--------|---|--------------------------|
| Michael | Sewell | | | <input type="checkbox"/> |
| Thomas | Craig | | | <input type="checkbox"/> |
| Pepper | Natonski | | | <input type="checkbox"/> |
| Louis | Renjel | | | <input type="checkbox"/> |
| Colleen | Moss | | | <input type="checkbox"/> |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code ENV

16. Specific lobbying issues

H.R. 806, the Ozone Standards Implementation Act;
 S. 263, the Ozone Standards Implementation Act;
 S. J. Res. 21, providing for congressional disapproval of the EPA Cross-State Air Pollution Rule Update for the 2008 Ozone NAAQS;
 S 1756 - Rebuild America Now Act
 S. 3017, Federal Permitting Reform and Jobs Act
 H.R. 3895, Smart Cities and Communities Act of 2017;
 S. 3303 - Water Quality Certification Improvement Act of 2018
 H.R. 6889 - Water Quality Certification Improvement Act of 2018

No bill number:

Regulatory issues confronting the electric utility and natural gas sectors.

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Environmental Protection Agency (EPA), Energy - Dept of, White House Office, Vice President of the U.S., Interior - Dept of (DOI)

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New |
|------------|-----------|--------|---|--------------------------|
| Michael | Sewell | | | <input type="checkbox"/> |
| Thomas | Craig | | | <input type="checkbox"/> |
| Pepper | Natonski | | | <input type="checkbox"/> |
| Colleen | Moss | | | <input type="checkbox"/> |
| Louis | Renjel | | | <input type="checkbox"/> |
| Victoria | Sullivan | | | <input type="checkbox"/> |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code BUD

16. Specific lobbying issues

H.R. 761 The Pension and Budget Integrity Act;
 S. 270 The Pension and Budget Integrity Act.
 H.R. 431 - To enable civilian research and development of advanced nuclear energy technologies by private and public institutions, to expand theoretical and practical knowledge of nuclear physics, chemistry, and materials science, and for other purposes.
 S 1460 - A bill to provide for the modernization of the energy and natural resources policies of the United States, and for other purposes.
 Protecting Single Employer Pension System -- no specific legislation.
 H.R. 5895, Energy and Water, Legislative Branch, and Military Construction and Veterans Affairs Appropriations Act, 2019 (Nuclear Regulatory Commission, fossil fuel grants, energy efficiency & renewables)
 HR 6147, Department of the Interior, Environment, and Related Agencies Appropriations Bill, 2019 (permitting & siting reform)
 S.3109 - Department of Homeland Security Appropriations Act, 2019 (security clearances)
 Labor-HHS Appropriations Legislation (LIHEAP)

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New |
|------------|-----------|--------|---|--------------------------|
| Michael | Sewell | | | <input type="checkbox"/> |
| Thomas | Craig | | | <input type="checkbox"/> |
| Pepper | Natonski | | | <input type="checkbox"/> |
| Colleen | Moss | | | <input type="checkbox"/> |
| Louis | Renjel | | | <input type="checkbox"/> |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TRD

16. Specific lobbying issues

Solar ITC Case (investigation no. TA-201-75)
Sect. 232 - Uranium tariff proposal
United States-Mexico-Canada Agreement (USMCA)
Executive Order on U.S. Steel

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Commerce - Dept of (DOC), Treasury - Dept of, White House Office, U.S. International Trade Commission (ITC), U.S. Trade Representative (USTR), Defense - Dept of (DOD), Energy - Dept of

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New |
|------------|-----------|--------|---|--------------------------|
| Thomas | Craig | | | <input type="checkbox"/> |
| Pepper | Natonski | | | <input type="checkbox"/> |
| Louis | Renjel | | | <input type="checkbox"/> |
| Michael | Sewell | | | <input type="checkbox"/> |
| Colleen | Moss | | | <input type="checkbox"/> |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code CAW

16. Specific lobbying issues

H.R. 3128, to amend section 111 of the CAA to clarify when a physical change in, or change in the method of operation of, a stationary source constitutes a modification, and for other purposes;

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New |
|------------|-----------|--------|---|-------------------------------------|
| Louis | Renjel | | | <input type="checkbox"/> |
| Michael | Sewell | | | <input type="checkbox"/> |
| Pepper | Natonski | | | <input type="checkbox"/> |
| Thomas | Craig | | | <input type="checkbox"/> |
| Colleen | Moss | | | <input type="checkbox"/> |
| Victoria | Sullivan | | | <input checked="" type="checkbox"/> |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TRA

16. Specific lobbying issues

Pipeline Safety Reauthorization
 HR 8 The Water Resources Development Act of 2018 (permitting and infrastructure reform)
 S. 2800 Americas Water Infrastructure Act of 2018 (permitting and infrastructure reform)

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New |
|------------|-----------|--------|---|--------------------------|
| Louis | Renjel | | | <input type="checkbox"/> |
| Colleen | Moss | | | <input type="checkbox"/> |
| Thomas | Craig | | | <input type="checkbox"/> |
| Michael | Sewell | | | <input type="checkbox"/> |
| Pepper | Natonski | | | <input type="checkbox"/> |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code DEF

16. Specific lobbying issues

S. 2987, National Defense Authorization Act for Fiscal Year 2019 (siting at military bases)

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New |
|------------|-----------|--------|---|--------------------------|
| Louis | Renjel | | | <input type="checkbox"/> |
| Colleen | Moss | | | <input type="checkbox"/> |
| Pepper | Natonski | | | <input type="checkbox"/> |
| Thomas | Craig | | | <input type="checkbox"/> |
| Michael | Sewell | | | <input type="checkbox"/> |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TEC

16. Specific lobbying issues

S.3175, STREAMLINE Small Cell Deployment Act.

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New |
|------------|-----------|--------|---|--------------------------|
| Louis | Renjel | | | <input type="checkbox"/> |
| Thomas | Craig | | | <input type="checkbox"/> |
| Michael | Sewell | | | <input type="checkbox"/> |
| Colleen | Moss | | | <input type="checkbox"/> |
| Pepper | Natonski | | | <input type="checkbox"/> |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code FUE

16. Specific lobbying issues

No specific bill number:
Regulatory issues confronting the electric utility and natural gas sectors.

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Energy - Dept of, White House Office

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New |
|------------|-----------|--------|---|--------------------------|
| Thomas | Craig | | | <input type="checkbox"/> |
| Colleen | Moss | | | <input type="checkbox"/> |
| Louis | Renjel | | | <input type="checkbox"/> |
| Pepper | Natonski | | | <input type="checkbox"/> |
| Michael | Sewell | | | <input type="checkbox"/> |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

Address _____
City _____ State _____ Zip Code _____ Country _____

21. Client new principal place of business (if different than line 20)

City _____ State _____ Zip Code _____ Country _____

22. New General description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

| First Name | Last Name | Suffix | First Name | Last Name | Suffix |
|------------|-----------|--------|------------|-----------|--------|
| 1 | | | 3 | | |
| 2 | | | 4 | | |

ISSUE UPDATE

24. General lobbying issue that no longer pertains

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| | | | | | | | | |
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AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Internet Address:

| Name | Address | | | | Principal Place of Business (city and state or country) |
|------|----------------|------|----------------|-------------|--|
| | Street Address | City | State/Province | Zip Country | |
| | | | | | City State Country |

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

1 2 3

FOREIGN ENTITIES

27. Add the following foreign entities:

| Name | Address | | | Principal place of business (city and state or country) | Amount of contribution for lobbying activities | Ownership percentage in client |
|------|----------------|------|------------------------|--|--|--------------------------------------|
| | Street Address | City | State/Province Country | | | |
| | | | | City State Country | | % |

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

1 3 5
2 4 6